IAPO4Rec'd PCT 17 JUL 2008

	TDAN	CMITTAL LETTER T	ATTORNEY'S DOCKET NO.						
		SMITTAL LETTER T	1029650-000178						
		SIGNATED/ELECTED RNING A SUBMISSI	U.S. APPLICATION No. (If known)						
			10/594,427						
INTER		L APPLICATION NO.	PRIORITY DATE CLAIMED						
		P2005/005577	25 March 2005 (25.03.2005)	26 March 2004 (26.03.2004)					
	TITLE OF INVENTION LIPOSOME PREPARATION								
		OR DO/EO/US							
			ko TAGUCHI, and Masayo KONDO						
Applicar	nt herewith	submits to the United States I	Designated/Elected Office (DO/EO/US) the following	owing items and other information:					
1. 🔲	This is a	a FIRST submission of iten	ns concerning a submission under 35 U.S	s.C. 371.					
2.	This is a	SECOND or SUBSEQUE	ENT submission of items concerning a sub	omission under 35 U.S.C. 371.					
3.	This is an express request to begin national examination procedures (35 U.S.C. 271(ft)). The submission must								
4.	The US	has been elected (Article	31).						
5. 🗌	A copy	• • • • • • • • • • • • • • • • • • • •	tion as filed (35 U.S.C. 371(c)(2))						
	а. 🔲	is attached hereto (requir	ed only if not communicated by the Intern	eational Bureau).					
	b. 🔲	has been communicated	by the International Bureau.						
	с. 🔲	is not required, as the ap	plication was filed in the United States Re	eceiving Office (RO/US).					
6. 🔲	An Engl	lish language translation of	f the International Application as filed (35	U.S.C. 371(c)(2))					
	a. is attached hereto.								
	b. 🔲	has been previously subr	mitted under 35 U.S.C. 154(d)(4).						
7.	Amendr	ments to the claims of the I	nternational Application under PCT Article	e 19 (35 U.S.C. 371(c)(3))					
	а. 🔲	are attached hereto (requ	ired only if not communicated by the Inte	rnational Bureau).					
	b. 🔲	have been communicated	d by the International Bureau.						
	с. 🔲	have not been made; how	wever, the time limit for making such ame	ndments has NOT expired.					
	d. have not been made and will not be made.								
8. 🔲	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).								
9. 🛛									
10. 🔲	An English language translation of the annexes of the International Preliminary Examination Report under								
Item	Items 11 to 20 below concern document(s) or information included:								
11. 🔲	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.								
12. 🔲	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.								
13. 🔲	. A preliminary amendment.								
14. 🔲	An Application Data Sheet under 37 CFR 1.76.								
15. 🔲	A substitute specification.								
16. 🔲	A power of attorney and/or change of address letter.								
17. 🔲	A computer-readable form of the sequence listing in accordance with PCT Rule 13 <i>ter.</i> 2 and 37 CFR 1.821-1.825.								
18. 🔲	A second copy of the published International Application under 35 U.S.C. 154(d)(4).								
19. 🔲	A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).								
20 🔯	· ·								

Į		10/594,427 PC1/JP2005/005577						1029650-000178			
1	The follow	The following fees have been submitted:						CALC	ULATIONS	PTO USE ONLY	
ŀ	21. Basic National fee (37 CFR 1.492(a))					(1631) \$ 300				0.00	. TO GOL GIVE!
ŀ		Examination	\$	0.00							
		If the written	opinion p	repared	by ISA/US or the inte						
		examination report prepared by IPEA/US indicates all claims satisfy provisions of									
		All other situ					•	643) \$ 0 3) \$ 200	\$	0.00	
ŀ		Search fee (492(b))			(,,,,	, 4 2 3 3	Ψ	0.00	
•			•		VUS or the internation	nal pre	eliminary exam	nination			
		report prepa			ndicates all claims sat		rovisions of PC	T Article			
-		33(1)-(4) Search fee <i>(</i>	(37 CFR 1	l.445(a)(2)) has been paid on	the int		640) \$ 0 dication			
		to the USPT	O as an I	nternatio	nal Searching Author	rity	(164	1) \$ 100			
					repared by an ISA oth nmunicated to the US			provided 2) \$ 400			
		All other situ	•	dary con	infunicated to the OS	by the	•	2) \$ 500	\$	0.00	
ŀ		TOTAL OF		ID 23 =					\$ \$	0.00	
					s and drawings filed i	n pap	er over 100 sh	eets	*	0.00	
		(excluding s	equence	listing in	compliance with 37 C	CFR 1.	.821(c) or (e) o				
					electronic medium) (ch additional 50 shee			n thereof.			
	Total She		a Sheets	Number	of each additional 50 or frac	ction	RATE				
l	1.	100 =	/50 =	thereon (round up to a whole numbe	<u>=!)</u>	x \$ 250		\$	0.00	
	Surcha	arge of \$ 130 (any of the search fee, e		ation fee, or the	oath or	,		
		ation after the			nent of the national stage				\$	130.00	
-	CLAIMS		NUMBER		NUMBER EXTRA		RATE				
	Total clain		18	- 20 =	0	-+	(\$ 50 (1615)		\$	0.00	
-	Independe		T CLAIRA	- 3 =			(\$ 200 (1614)		\$	0.00	
		F ABOVE CA			icable)		+ \$ 360 (1616)		\$	0.00	
					C 27 CFD 4 27 For		un ara raduand l	1/2	\$	130.00	
		Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2						Dy 1/2		0.00	
		SUBTOTAL = Processing fee of \$ 130 (1618) for furnishing the English translation later than 30 months from the							\$	130.00	
		earliest claimed priority date (37 CFR 1.492(i)).								0.00	
		TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied							\$	130.00	
					(37 CFR 1.21(n)). The a s, 3.31).\$ 40 per property			companied +		0.00	
		ES ENCLOS							\$	130.00	
07/18/20	08 GFREY1	8 GFREY1 00000091 024800 10594427 Amoun							to be	refunded:	\$
01 FC:10	17	130.00 DA						Amoun	t to be	charged:	\$
. 1											
	a. A check in the amount of to cover the above fees is enclosed.										
	b. Please charge my Deposit Account No. 02-4800 in the amount of \$130.00 to cover the above fees. A duplicate copy of this sheet is enclosed.										
	c. A The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4800. A duplicate copy of this sheet is enclosed.										
	d. Charge \$ to credit card. Form PTO-2038 is attached.										
	NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or										
	(b)) must be filed and granted to restore the International Application to pending status.										
	SEND ALL CORRESPONDENCE TO:										
		Customer No 21839 SIGNATURE) m	_		
	P.O. Box					31	IGNATURE				
		a, VA 22313	3-1404								
	703.836.6						atthew L. Schi	neider		- Brow	32814
	ripate: July	/ 17. 2006				1 47				REGIS	STRATION NO.

INTERNATIONAL APPLICATION NO.

U.S. APPLICATION NO. (If known)

ATTORNEY'S DOCKET NO.

ATTORNEY'S DOCKET NO. TRANSMITTAL LETTER TO THE UNITED STATES 1029650-000178 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION No. (If known) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** 10/594,427 INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE 26 March 2004 (26.03.2004) PCT/JP2005/005577 25 March 2005 (25.03.2005) · TITLE OF INVENTION LIPOSOME PREPARATION APPLICANT(S) FOR DO/EO/US Masashi ISOZAKI, Keisuke YOSHINO, Kyoko TAGUCHI, and Masayo KONDO Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 1. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must 3. include items (5), (6), (9) and (21) indicated below. 4. \square The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) 5. is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. b. 🔲 is not required, as the application was filed in the United States Receiving Office (RO/US). c. 🖂 An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) 6. is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). b. 🔲 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) 7. \square are attached hereto (required only if not communicated by the International Bureau). a. 🔲 have been communicated by the International Bureau. b. 🔲 have not been made; however, the time limit for making such amendments has NOT expired. c. 🗌 have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 9. An English language translation of the annexes of the International Preliminary Examination Report under 10. 🔲 PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. 🔲 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is 12. 🔲 included. 13. 🔲 A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. 🗌 A substitute specification. 16. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 17. 🔲 A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18. 🔲

19. 🔲

20. 🖾

A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

Other items or information: Notification of Missing Requirements.

U.S. APPLICATION NO. (If known)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NO.			
10/594,427	PCT/JP2005/005577	1029650-000178			

The follo	The following fees have been submitted:								CALC	ULATIONS	PTO USE ONLY
21. 🗌	Basic National fee (37 CFR 1.492(a)) (1631) \$ 300							\$	0.00		
22.	Examination fee (37 CFR 1.492(c))										
	If the w	ritten	opinion p	repared	by ISA/US or the interna	ationa	al preliminary	dalana of	!		
			report prε 33(1)-(4)	epareo b	y IPEA/US indicates all c	ciaiiii	is salisty pro 1.)	643) \$ 0			
	All othe						•	3) \$ 200	\$	0.00	
23. 🔲			37 CFR 1	.492(b))							
	If the w	ritten	opinion o	of the ISA	VUS or the international	preli	minary exam	ination			
			red by IPI	EA/US in	idicates all claims satisfy	prov	visions of PC 11	1 Article 640) \$ 0			
	33(1)-(Search	fee (37 CFR 1	.445(a)(2)) has been paid on the	inte					
	to the l	JSPT	O as an I	nternatio	nal Searching Authority	41		1) \$ 100			
	Interna	tional Office	or previo	teport pr uslv com	repared by an ISA other to municated to the US by	tnan the l	B (164	2) \$ 400			
	All othe		•	 ,				2) \$ 500	\$	0.00	
	TOTAL	OF	21, 22 AN	ID 23 =					\$	0.00	
	Additio	nal fe	e for spec	cification	s and drawings filed in pa	aper	over 100 sh	eets			
	(exclud	ling s ter or	equence l	listing in	compliance with 37 CFR electronic medium) (37	1.82 CFR	21(c) or (e) o ? 1 492(i)).	r			
	The fe	e is \$	250 (168	1) for each	ch additional 50 sheets o	of pap	per or fraction	n thereof.			
Total Sho	eets	Extra	a Sheets		of each additional 50 or fraction round up to a whole number)	1	RATE				
	-100 =		/50 =			1	x \$ 250	···	\$_	0.00	
⊠ Surch	harge of S	130 er the	(1617) for for date of cor	urnishing mmencen	any of the search fee, examnent of the national stage (3	ninati 7 CF	on fee, or the (R 1.492(h)).	oath or	\$	130.00	
CLAIMS		<u> </u>	NUMBER		NUMBER EXTRA		TE				
Total cla	ims		18	- 20 =	0	x \$	50 (1615)		\$	0.00	
Independ	dent Clair	ns	1	- 3 =	0	x \$	200 (1614)		\$	0.00	
MULTIP	LE DEPE	NDEN	NT CLAIM(S	S) (if appl	icable)	+ \$	360 (1616)		\$	0.00	
			LCULATIO				<u>-</u>		\$	130.00	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2									0.00		
SUBTOTAL =								\$	130.00		
Processing fee of \$ 130 (1618) for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).								0.00			
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Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31).\$ 40 per property								companied +		0.00	
TOTAL FEES ENCLOSED =									\$	130.00	
	Amoun							Amount	to be	refunded:	\$
								Amoun	t to b	e charged:	\$
a. A check in the amount of to cover the above fees is enclosed. Please charge my Deposit Account No. 02-4800 in the amount of \$130.00 to cover the above fees. A duplicate											
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The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4800. A duplicate copy of this sheet is enclosed.											
d. □	d. Charge \$ to credit card. Form PTO-2038 is attached.										
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(b)) must be filed and granted to restore the International Application to pending status.											
SEND ALL CORRESPONDENCE TO:											
Customer No 21839 SIGNATURE											
P.O. Box 1404 Alexandria, VA 22313-1404											
703.836.6620 <u>Matthew L. Schneider</u>										32814	

Date: July 17, 2006

Matthew L. Schneider

32814 REGISTRATION NO.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.umplo.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/594,427

Masashi Isozaki

1029650-000178

21839 BUCHANAN, INGERSOLL & ROONEY PC POST OFFICE BOX 1404 ALEXANDRIA, VA 22313-1404 INTERNATIONAL APPLICATION NO.

PCT/JP2005/005577

LA. FILING DATE PRIORITY DATE

03/25/2005 03/26/2004

CONFIRMATION NO. 2940 371 FORMALITIES LETTER

Date Mailed: 06/27/2008

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- Priority Document
- Copy of the International Application filed on 09/26/2006
- English Translation of the IA filed on 09/26/2006
- · Copy of the International Search Report filed on 09/26/2006
- Copy of IPE Report filed on 06/15/2008
- Preliminary Amendments filed on 09/26/2006
- Information Disclosure Statements filed on 12/20/2006
- Request for Immediate Examination filed on 09/26/2006
- U.S. Basic National Fees filed on 09/26/2006
- Priority Documents filed on 06/15/2008
- Non-English Language Application filed on 09/26/2006

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

• Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

MISSING PARTS DUE 8/27/08

page 1 of 2

Rec'd by New Applications

JUL 17 2008

BUCHANAN INGERSOLL & ROONEY PC

JUN 27 2008

FORM PCT/DO/EO/905 (371 Formalities Notice)

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

DIAN S GORDON	
Telephone: (703) 308-9290 EXT 125	